



RENEWAL OF PAWNBROKING LICENSE

State Form 34979(R2/7-04) Approved State Board of Accounts 2001

DUE DATE: June 1

INVOICE # _____ CHECK # _____
AMT. PAID _____ BAL. DUE _____
REFUND _____ WARRANT _____

DEPARTMENT OF FINANCIAL INSTITUTIONS

30 South Meridian Street, Suite 300
Indianapolis, Indiana 46204-2759
(317) 232-3955

NAME:

Phone:

ADDRESS:

Fax:

CITY, ST, ZIP:

Lic ID:

DFI ID:

USE SPACE BELOW TO INDICATE CHANGES OR ADDITIONS TO YOUR BUSINESS INFORMATION.
IF BUSINESS CHANGING NAME, SEND IN ORIGINAL LICENSE TO BE REISSUED UNDER NEW NAME.

NAME OF BUSINESS

MAILING ADDRESS OF BUSINESS

CITY

STATE

ZIP CODE

TELEPHONE NUMBER

EXTENSION

FAX NUMBER

CONTACT PERSON

E-MAIL ADDRESS

ATTACH LICENSE RENEWAL FEE CHECK HERE

Number of Pawnbroking Locations _____

ACKNOWLEDGMENT

If a Corporation / LLC, President and one officer must sign; if a partnership, all partners must sign; sole proprietor must sign.

By:

Title

Printed Signed Name:

E-Mail Address

By:

Title

Printed Signed Name:

E-Mail Address

By:

Title

Printed Signed Name:

E-Mail Address

By:

Title

Printed Signed Name:

E-Mail Address:

INDIANA BRANCH INFORMATION

ADDRESS OF EACH BRANCH LOCATION

Number of Branches _____

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

Address (Number and Street)

City, State, Zip Code

Telephone

ATTACH AN ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION

To be completed by ALL APPLICANTS or attach separate sheet for response

1. Do you engage in any other business in addition to Pawnbroking?

☐ Yes ☐ No

If Yes, describe type of business: _____

2. List other states where you are operating as a Pawnbroker; including date licensed, name of agency, and agency contact person _____

3. Give history and full details of any material litigation and/or criminal convictions for five years preceding date of application for any owner, partner, corporate officer, limited liability member, or branch manager.

4. Has any officer, member, partner, owner, or employee been connected directly or indirectly as a principal or employee with any business licensed under the Pawnbroking Act whose license was suspended or revoked? ☐ Yes ☐ No

If Yes, describe:

5. Do you have an ATF license? ☐ Yes ☐ No

If Yes attach a copy of your license.

ATTACH FINANCIAL STATEMENTS, review or audit level, prepared by an external CPA or most recent 10K filing with the Securities Exchange Commission (SEC). The Financial Statement including Balance Sheet and Income Statement must show a net worth of at least \$75,000 for each place of business conducted by the licensee and liquid assets of at least \$50,000. For more information including an option on meeting the liquid asset requirement, see cover letter.

THE DEPARTMENT MAY IMPOSE A FEE OF FIVE DOLLARS (\$5) PER DAY ON ANY LICENSE RENEWAL FEE THAT IS NOT RECEIVED ON OR BEFORE JUNE 1.



STATE OF INDIANA

DEPARTMENT OF FINANCIAL INSTITUTIONS



30 South Meridian Street, Suite 300
Indianapolis, Indiana 46204-2759
Telephone: (317) 232-3955
FAX: (317) 232-7655
WEB SITE <http://www.in.gov/dfi>

TO ALL PAWNBROKING LICENSEES:

We are enclosing an application for renewal of your Indiana Pawnbroking license. The original copy of the renewal forms together with a check made payable to the Department of Financial Institutions for **\$500 for each pawnbroking location** is to be sent to the Department by **June 1**. A duplicate of the renewal forms are to be retained in your file for review at the time of the next examination.

FINANCIAL STATEMENT: Review or audit level Financial Statements prepared by an external Certified Public Accountant (CPA) or most recent 10K filing with the Securities Exchange Commission (SEC) must be attached to the application. The Financial Statement including Balance Sheet and Income Statement must show a net worth of at least \$75,000 for each place of pawnbroking business conducted by the applicant and total liquid assets of \$50,000. This CPA statement must have been prepared no earlier than 12 months prior to the renewal date. Liquid assets include cash or its equivalent (any assets that are readily convertible to cash without significant loss such as treasury bills, short term marketable securities, demand deposits, and time deposits nearing maturity.) Other assets that you believe to be liquid assets must be identified and footnoted in the CPA report.

In lieu of the \$50,000 liquid asset requirement, the applicant can have \$25,000 in liquid assets; \$25,000 insurance coverage on the personal property deposited as a "pledge"; and a \$25,000 bond to the State of Indiana with surety to the satisfaction of the department.

If the license is not to be renewed, the original license must be returned before June 1 with a letter stating that it is to be surrendered.

If you desire further information concerning specific licensing questions, please contact this office.

New branch locations of pawn licensees must be approved by the Department by a New Branch License Application.

PLEASE NOTE:

THIS DEPARTMENT MAY IMPOSE A FEE OF FIVE DOLLARS (\$5) PER DAY ON ANY LICENSE RENEWAL FEE THAT IS NOT RECEIVED ON OR BEFORE JUNE 1.

NON-DEPOSITORY DIVISION

mtarpey@dfi.state.in.us

PAWNBROKER LICENSE RENEWAL CHECK LIST

CHECK HERE	THE FOLLOWING MUST BE ATTACHED TO THE RENEWAL FORMS
	\$500 Pawnbroker License Renewal Fee per location
	CPA prepared Reviewed or Audited Financial Statements including Balance Sheet and Income Statement or most recent 10K filing with the Securities Exchange Commission (SEC) with \$75,000 net worth per location and \$50,000 in liquid assets or in lieu of the \$50,000 liquid asset requirement, the applicant can have \$25,000 in liquid assets; \$25,000 insurance coverage on the personal property deposited as a “pledge”; and a \$25,000 bond to the State of Indiana with surety to the satisfaction of the department
	Signed Page 1; printing name, giving title, and date.
	Corrections to business name, address, telephone or fax numbers If there is a change in your business name, you will need to send in your original license to be reissued with the new name
	Completed Page 2 listing your branch locations in Indiana
	Completed Page 3 General Information

Check each item required to accompany the renewal form to make sure your renewal is complete and send this check list with renewal forms.